



MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: 6th September 2023

TIME: 3.00 pm

VENUE: Birkdale Room - Southport Town Hall, Lord Street, Southport, PR8
1DA

Member

Cllr. Dave Robinson (Chair)
Cllr. Michael Roche (Vice-Chair)
Cllr. John Joseph Kelly
Cllr. Catie Page
Cllr. Dr. John Pugh
Cllr. Simon Shaw
Cllr. Tom Spring
Cllr. Carla Thomas
Cllr. Anne Thompson
Cllr. Veronica Webster

Substitute

Cllr. Susan Bradshaw
Cllr. Paula Murphy
Cllr. Christine Howard
Cllr. Laura Lunn-Bates
Cllr. Iain Brodie - Browne
Cllr. Gareth Lloyd-Johnson
Cllr. Sean Halsall
Cllr. James Hansen
Cllr. Paula Spencer
Cllr. Judy Hardman

Independent Member
Rachel Oakes

COMMITTEE OFFICER: Amy Dyson
Democratic Services Officer

Telephone: 0151 934 21812045
E-mail: ruth.appeby@sefton.gov.uk

If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.

AGENDA

1. Apologies for absence

2. Declarations of Interest

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

3. Minutes

(Pages 5 - 12)

Minutes of the meeting held on 19 July 2023

4. Corporate Risk Management

(To Follow)

Report of the Executive Director of Corporate Resources and Customer Services

5. Annual Report of the Audit and Governance Committee 2022-2023

(Pages 13 - 58)

Report of the Executive Director of Corporate Resources and Customer Services

6. Information Governance and Compliance 2022/23

(Pages 59 - 70)

Report of the Executive Director of Corporate Resources and Customer Services

7. Code of Corporate Governance

(Pages 71 - 90)

Report of the Executive Director of Corporate Resources and Customer Services

- 8. Annual Report and Opinion of the Chief Internal Auditor** (To Follow)
Report of the Executive Director of Corporate Resources and Customer Services
- 9. Risk and Audit Performance** (To Follow)
Report of the Executive Director of Corporate Resources and Customer Services
- 10. Audit and Governance Committee - Quarterly Work Programme Update Report** (Pages 91 - 96)
Report of the Executive Director of Corporate Resources and Customer Services
- 11. Exclusion of Press and Public**
The following report is **not** Exempt / Confidential but includes appendices which contain exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A under Section 100A(4) of the Local Government Act 1972. Namely,
- Item 12 – Unrecoverable Debts over £10,000 – Appendices 1, 2, 3 and 4
- Members are therefore requested to consider whether or not they wish to discuss any matters referred to in the exempt appendices and accordingly, consider passing the following resolution:
- That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for any items of business which might involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.
- 12. Unrecoverable Debts over £10,000** (Pages 97 - 120)
Report of the Executive Director of Corporate Resources and Customer Services